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# 1 Scope

The present document describes the SPI interface for the communication of an SSP, as defined in ETSI TS 103 666-1 [1] using the SCL protocol.

# 2 References

# 2.1 Normative references

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The following referenced documents are necessary for the application of the present document.

- [1] ETSI TS 103 666-1: "Smart Secure Platform (SSP); Part 1: General characteristics".
- [2] ETSI TS 102 613: "Smart Cards; UICC Contactless Front-end (CLF) Interface; Physical and data link layer characteristics".
- [3] ISO/IEC 13239: "Information Technology -- Telecommunications and information exchange between systems -- High-level Data Link Control (HDLC) procedures".

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The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

[i.1] ETSI TR 102 216: "Smart cards; Vocabulary for Smart Card Platform specifications".

# 3 Definition of terms, symbols and abbreviations

# 3.1 Terms

For the purposes of the present document, the terms given in ETSI TR 102 216 [i.1] and the following apply:

**data transfer:** information exchange during an SPI access between the master and the slave with SPI\_MISO driven by the slave and SPI\_MOSI driven by the master while the master is toggling the SPI\_CLK signal

**flow control:** mechanism of the Data Link Layer that consists of methods applied by the transmitter in order to send at any time a number of logical data units that can be accepted by the receiver

**frame:** link layer data structure consisting of a prologue or frame header, payload and epilogue or trailer usually containing the CRC bytes

MAC access request: request from the slave to the master for a data transfer, i.e. a MAC phase initiated by the slave

MAC phase: initiation of a data transfer by the master and/or request for a data transfer by the slave

**SPI access:** SPI\_NSS assertion by the master, if not already asserted in the MAC phase, followed by SPI\_CLK start for transferring a certain number of bytes according to the SPI master configuration

NOTE: The number of bytes transferred during an SPI access is always the same in both directions on SPI\_MISO and SPI\_MOSI and is also referred to as access length.

window size: maximum number of logical data units that can be sent from the transmitter to the receiver without any link layer acknowledgements for any of these data units

window size slot: fixed space used by the slave in the receive buffer for the logical data units

NOTE: The length of a window size slot equals the Data Link Layer MTU.

# 3.2 Symbols

Void.

# 3.3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

А	Asserted
AC	Alternating Current
ACT	Activation
CLF	ContactLess Frontend
CLT	ContactLess Tunnelling
CMD	Command
CPHA	Clock Phase
CPOL	Clock Polarity
CRC	Cyclic Redundancy Check
D	Driven (either Low Level or High Level)
DA	De-Asserted
DC	Direct Current
HiZ	High Impedance
II	Input Ignored
IL	Input Listened
IO	Input/Output
IOH	High Output Current (Output current corresponding to VOH)
IOL	Low Output Current (Output current corresponding to VOL)
LLC	Logical Link Control
LPDU	Link Protocol Data Unit

Medium Access Control
MAC aCTivation
Master Input Slave Output
Master Output Slave Input
Most Significant Bit
Maximum Transmission Unit
Non-Significant Data
Open Drain
Open System Interconnection
Reserved for Future Use
SSP Common Layer
Simplified High Level Data Link Control
Serial Peripheral Interface
Smart Secure Platform
Single Wire Protocol
As defined in ETSI TS 102 613 [2].
Universal Integrated Circuit Card
Supply Voltage
High Input Voltage (Input Voltage for High Logic Level)
Low Input Voltage (Input Voltage for Low Logic Level)
High Output Voltage (Output Voltage for High Logic Level)
Low Output Voltage (Output Voltage for Low Logic Level)

# 4 Introduction

The Serial Peripheral Interface (SPI) is a serial synchronous full-duplex communication interface between a single master and one or more slaves present on the same SPI bus, each slave being selected at one time by a dedicated SPI\_NSS signal. This clause defines the physical, MAC and data link layers for the SPI interface.

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In this clause the terms master and slave refer respectively to the terms master SPI and slave SPI.

# 5 SCL Under-Layers Protocol Stack

Figure 5.1 illustrates the protocol stack below the SCL supporting the SPI interface.

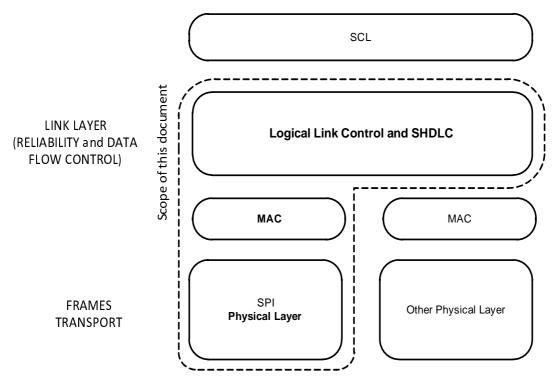


Figure 5.1: Protocol stack for SPI Interface

# 6 Electrical interfaces

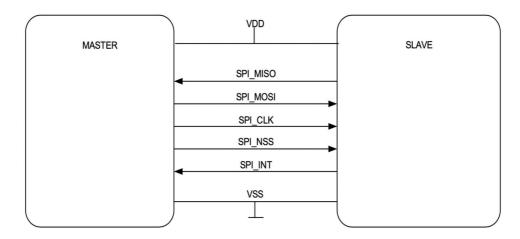
# 6.1 Introduction

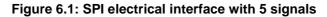
In the clauses below, different implementations of SPI interface are defined. These implementations allow bi-directional communication and the possibility for the slave to initiate communication with the master when it has data available thus avoiding the necessity for continuous polling to be performed by master.

Slave may initiate communication to send a command without a prior command from master.

# 6.2 Physical interface with 5 signals

Figure 6.1 illustrates the SPI electrical interface using 5 signals.





This SPI interface describes two sets of signals:

- The generic and legacy SPI interface using the 4 signals:
  - SPI\_MOSI (Master Output Slave Input);
  - SPI\_MISO (Master Input Slave Output);
  - SPI\_CLK (clock);
  - SPI\_NSS signal used for the selection of a Slave Endpoint among N slaves sharing the same bus;

SPI\_MISO, SPI\_MOSI and SPI\_CLK can be shared between several SPI slaves present on the same SPI bus.

• The SPI\_INT signal allows the slave to initiate a MAC access request in order to notify the master to start a data transfer.

SPI\_INT is defined as an edge-triggered interrupt. It is asserted on the rising edge of the signal.

SPI\_NSS is considered active or asserted at low voltage level.

# 6.3 Physical interface with 4 signals

Figure 6.2 illustrates the SPI interface using 4 signals, bi-directional SPI\_NSS.

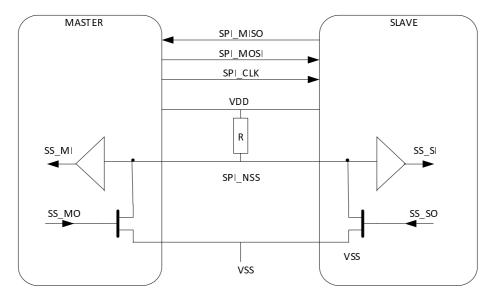


Figure 6.2: SPI electrical interface with 4 signals, bi-directional SPI\_NSS

The SPI interface with 4 signals describes two sets of signals:

- The three generic and legacy SPI signals as SPI\_MOSI (Master Output Slave Input), SPI\_MISO (Master Input Slave Output) and SPI\_CLK (clock). These signals can be shared between several SPI slaves as a bus.
- The SPI\_NSS (Negative Slave Select) signal used for the selection of a slave endpoint among N slaves sharing the same bus and for the slave to initiate a MAC access request to notify the master to initiate a data transfer.

SPI\_NSS is considered active or asserted at low voltage level. SPI\_NSS requires a bidirectional IO implementing an Open Drain (OD) interface for both master and slave. This configuration allows driving the SPI\_NSS signal to low voltage level by both master and slave without electrical conflict.

A pull-up resistor keeps SPI\_NSS at high state level (i.e. idle state) when SS\_MO and SS\_SO are not asserted. The SPI\_NSS signal is at low state when either SS\_MO or SS\_SO are asserted.

NOTE: The current industry de-facto SPI specification defines SPI\_NSS signal as unidirectional, driven by the master. However, in the present document the SPI\_NSS in the 4 signals configuration is bidirectional.

Signal	Description
SS_MO	Internal master output signal for SPI_NSS assertion. SS_MO is at high state level for generating a SPI_NSS signal assertion (i.e. low level state)
SS_SO	Internal slave output signal for SPI_NSS assertion. SS_SO is at high state level for generating a SPI_NSS signal assertion (i.e. low level state)
SS_MI	Internal master input signal indicating SPI_NSS status. SS_MI is at high state level when the SPI_NSS signal is not asserted
SS_SI	Internal slave input signal indicating SPI_NSS status. SS_SI is at high state level when the SPI_NSS signal is not asserted
SPI_NSS	SPI_NSS signal: low state level when asserted

#### Table 6.1: Definition of the signals

#### 6.4 **Electrical characteristics**

#### 6.4.1 **DC** characteristics

The SPI Electrical specification interface is defined for VDD operational voltage classes B and C as defined in ETSI TS 103 666-1 [1], clause 6.2.2.3. An implementation shall support at least one of these voltage classes.

NOTE: The negotiation of the voltage class between the master and the slave is not defined in the present document.

	Min	Max	Unit	Note/Test condition
VIH	0,7 × VDD	VDD + 0,5	V	
VIL	-0,5	0,3 × VDD	V	
VOH	0,9 × VDD		V	IOH = -100 uA
VOL		0,1 × VDD	V	IOL = 1,0 mA
IOL	-1	-	mA	VOL = 0,3 V
CI	-	20	pF	
	VIL VOH VOL IOL CI	VIL         -0,5           VOH         0,9 × VDD           VOL         -1	VIL         -0,5         0,3 × VDD           VOH         0,9 × VDD         0,1 × VDD           VOL         0,1 × VDD         0,1 × VDD           IOL         -1         -           CI         -         20	VIL         -0,5         0,3 × VDD         V           VOH         0,9 × VDD         V         V           VOL         0,1 × VDD         V           IOL         -1         -         mA           CI         -         20         pF

#### Table 6.2: DC characteristics for operational voltage class B

NOTE: Applicable for the physical interface with 4 signals.

Table 6.3: DC characteristics fo	operational voltage class C
----------------------------------	-----------------------------

Parameter	Symbol	Min	Max	Unit	Note/Test condition
Input high voltage	VIH	0,7 × VDD	VDD + 0,3	V	
Input low voltage	VIL	-0,3	0,3 × VDD	V	
Output high voltage	VOH	0,9 × VDD		V	IOH = -100 uA
Output low voltage	VOL		0,1 × VDD	V	IOL = 1,0 mA
SPI_NSS Low Level Output current	IOL	-1	-	mA	VOL = 0,3 V
(see note)					
Maximal SPI_NSS line capacitance	CI	-	20	pF	
(see note)					
NOTE: Applicable for the physical interface with 4 signals.					

The value of the resistor R in figure 6.2 shall be selected for a resultant maximum current lower than or equal to the minimum between the absolute IOL values of the master and the slave.

#### 6.4.2 Data transfer mode, AC characteristics

The SPI interface shall implement the SPI mode 0 according to the industry de-facto SPI specification.

SPI mode 0 is determined by CPOL = 0 and CPHA = 0 where:

- CPOL: defines the SPI\_CLK idle state.
- CPOL = 0 implies that the SPI\_CLK is at input low voltage while it is idle.
- CPHA: defines the data sampling time.
- CPHA = 0 implies that data sampling is done on the rising edges of the SPI\_CLK for both SPI\_MISO and SPI\_MOSI.

SPI\_NSS is considered active or asserted at low voltage level.

Data availability timings with reference to SPI\_CLK are shown in figure 6.3.

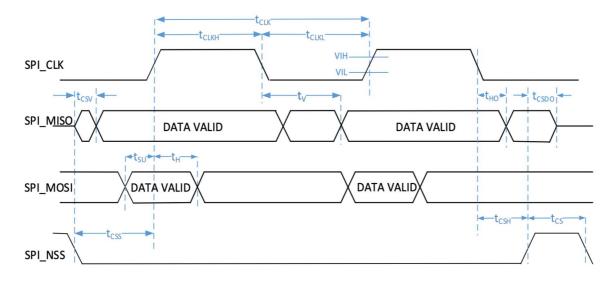


Figure 6.3: SPI timing diagram

Symbol	Definition	Value (MIN values unless MAX specified)
fCLK	SPI_CLK frequency	Max SPI_CLK is specified by Slave at initialization in MCT_READY
tCLKL	SPI_CLK low time	0,45 × tCLK
tCLKH	SPI_CLK high time	0,45 × tCLK
tSU	Data setup time to clock rising edge	5 ns
tH	SPI_MOSI hold time/Data hold time to clock rising edge	3 ns
tHO	SPI_MISO hold time/Output hold time to clock falling edge	0 ns
tCSS	SPI_NSS setup time (class C)	63 ns
tCSS	SPI_NSS setup time (class B)	33 ns
tCSH	Hold time clock falling edge to SPI_NSS inactive	0,5 × tCLK
tCS	SPI_NSS inactive time (class C)	60 ns
tCS	SPI_NSS inactive time (class B)	30 ns
tCSV	SPI_MISO valid delay time from SPI_NSS active (class C)	58 ns (MAX)
tCSV	SPI_MISO valid delay time from SPI_NSS active (class B)	28 ns (MAX)
tV	SPI_MISO valid delay time from clock falling edge	0 ns (MIN) 0,7 × tCLKL (MAX)
tCSDO	SPI_MISO Output disable time from SPI_NSS inactive (class C)	0 ns (MIN) 60 ns (MAX)
tCSDO	SPI_MISO Output disable time from SPI_NSS inactive (class B)	0 ns (MIN) 30 ns (MAX)

Table 6.4: AC characteristics for voltage classes B and	nd C (SPI Slave, Mode 0: CPOL = 0, CPHA = 0)

The values indicated in table 6.4 are reference values for generic SPI slaves. If the concrete slave device supports better timing parameters, a system design may choose to configure the master for these timing values in order to achieve better performance.

# 6.5 Slave state

### 6.5.1 Slave state definitions

The slave shall be in one of the following states:

#### Initial state:

The slave enters this state as soon as it is powered on and VDD is valid or after a reset. In this state, the slave is not initialized and the SPI module is not ready to send or receive any data. The master shall not perform any SPI access while the slave is in this state.

After the POT time, following VDD valid or a reset, the slave shall transition to the state configured/de-selected.

#### **Configured state:**

The slave in configured state has two sub states:

• **De-selected sub-state:** In this state, the slave SPI module is enabled, i.e. it shall not ignore SPI\_NSS assertion by master and shall be ready for an SPI access. SPI\_NSS is not asserted by the master. As a consequence, the slave shall have SPI\_MISO in high impedance and shall ignore both SPI\_CLK and SPI\_MOSI. In an implementation with 4 signal interface, SPI\_NSS is not asserted by the slave.

In this state, the slave is waiting for a master access. The slave will also be in this state after issuing a MAC access request, until the master generates an access for the data transfer.

From this state, the slave shall enter into one of the states: configured/selected, pro-active or power saving mode; or initial in case of a reset.

• Selected sub-state: In this state, the master has asserted the SPI\_NSS during the MAC phase and data transfer phase.

The slave SPI module is enabled, i.e. it shall not ignore SPI\_NSS assertion by the master and shall not ignore SPI\_CLK and SPI\_MOSI. SPI\_MISO is not in high impedance.

From this state, the slave shall enter into one of the states: configured/de-selected or pro-active; or initial in case of a reset.

#### **Pro-active state:**

This state is entered by the slave when data need to be sent. The slave issues a MAC access request by asserting the SPI\_NSS or SPI\_INT. The slave enters this state for the duration of T2, as described in clauses 7.2.3.2 or 7.2.4.3. The slave exits this state on its own after T2, regardless of the input signals states driven by the master:

• For the 4 signal interface:

The slave shall have its SPI module disabled, i.e. all input signals shall be ignored and SPI\_MISO shall be in high impedance. The slave asserts SPI\_NSS.

It is implementation-dependent if the slave SPI module keeps its internal configuration during T2 duration or requires a (partial) re-configuration when exiting this state, which has to be performed within T1 Slave Ready Time reported to the master.

• For the 5 signal interface:

The slave SPI module needs not to be disabled.

It is implementation-dependent if the slave SPI module keeps its internal configuration during T2 or needs a (partial) re-configuration when exiting the pro-active state, which has to be performed within T1 Slave Ready Time.

For both the 4 signal and 5 signal implementations, the slave shall transition from the pro-active state to:

• the state configured/de-selected if only the slave generated a MAC access request and the master did not initiate any MAC phase simultaneously;

- the state configured/selected when both the master and the slave generate a MAC phase simultaneously;
- the initial state in case of a reset.

#### **Busy state:**

This is an optional state applicable only for the case of the 4 wire interface. It is implementation-dependent if the slave SPI module keeps its internal configuration and/or stays enable during this state.

This state is entered by the slave when the slave performs the optional slave driven flow control by keeping SPI\_NSS asserted following the configured/selected state, as described in clause 7.2.4.5.

From this state the slave shall enter the configured/de-selected state.

#### Power saving mode state:

This state is entered by the slave to reduce the power consumption. Entry and exit conditions are described in clause 7.8.

The slave shall transition from this state to the state configured/selected after the master has resumed the slave; or to the initial state in case of a reset.

### 6.5.2 Slave state diagram

Figure 6.4 shows the diagram of the slave states.

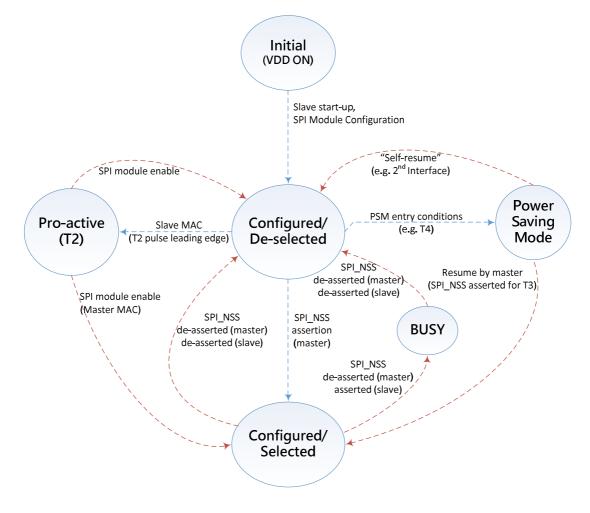


Figure 6.4: Diagram of the slave states

NOTE 1: For the sake of readability, the transitions to the initial state if a reset occurs are not shown.

NOTE 2: Additional information on slave state is provided in annex A.

# 7 Data Link Layer

# 7.1 Overview

Clause 9.1 in ETSI TS 102 613 [2] shall apply.

# 7.2 MAC Layer

### 7.2.1 Overview

The MAC phase is the initial handshake phase between the SPI master and the SPI slave followed by the SPI data transfer phase.

### 7.2.2 Timing

### 7.2.2.1 Timing definitions

Table 7.1 describes the timing parameters of the MAC layer. In addition to these MAC timings, the timing requirements listed in AC electrical characteristics shall apply for all MAC diagrams in the following clauses.

Symbol	Definition	Value (min)/ Reference	Description
T1	Slave Ready Time	Reported in MCT_READY	MAC phase time prior to data transfer start. This time is the maximum time needed for the SPI slave to be ready for the data transfer and is reported in MCT_READY.
T2	Slave Request Time	1 μs	SPI_NSS or SPI_INT assertion min pulse width.
Т3	Slave Resume Time from power saving mode	Reported in MCT_READY	Time from SPI_NSS assertion by master for slave resume to data transfer start. T3 value shall be used by master in MAC phase instead of T1 when the slave is in power saving mode.

 Table 7.1: MAC timing parameters

#### 7.2.2.2 T1 = Slave Ready Time

T1 is the MAC phase time required by the slave to get configured and enabled at the end of the MAC phase, ready for the data transfer phase start (i.e. when the SPI\_CLK can be started by master).

T1 is defined as the maximum time the slave needs to become ready for a data transfer. The slave requires the SPI master to allow at least a time T1 from the leading edge of the SPI\_NSS or SPI\_INT assertion by either master or slave to the data transfer phase start.

T1 becomes a minimum time value requirement from the master perspective. The SPI master starts the data transfer phase at the time Ts after the end of T1 by asserting SPI\_NSS, if not already asserted depending on the prior MAC phase, followed by the SPI\_CLK start.

NOTE: The time Ts is an additional delay the SPI master may add at the end of T1 to the SPI access start. Ts is out of scope of the present document. In figures 7.1, 7.2 and 7.3 of clause 7.2.3 and in figures 7.4, 7.5 and 7.6 of clause 7.2.4, this delay is represented only for illustration.

T1 is slave implementation dependant.

T1 is determined by the slave and includes T2 and any slave-specific internal latencies. Slave shall provide a T1 value that covers the worst–case time it needs between the moment of sampling SPI\_NSS to the time when slave becomes ready for the data transfer.

Master shall allow at least the time T1 requested by the slave between the start of the MAC phase initiated by either master or slave and the point in time when the data transfer phase starts. Master may use a Ts delay in addition to T1, which may vary from one MAC phase to another.

#### 7.2.2.3 T2 = Slave Request Time

T2 is the duration of an SPI\_NSS or SPI\_INT pulse generated by the slave for a MAC access request.

The minimum value of T2 is 1  $\mu$ s.

In order to sense the interrupts originating either from slave SPI\_NSS or SPI\_INT assertion, the master shall be configured for edge-triggered interrupts.

NOTE: The leading edges of the MAC access request signals of the slave should assert the internal interrupt of the master.

#### 7.2.2.4 T3 = Slave resume time from power saving mode

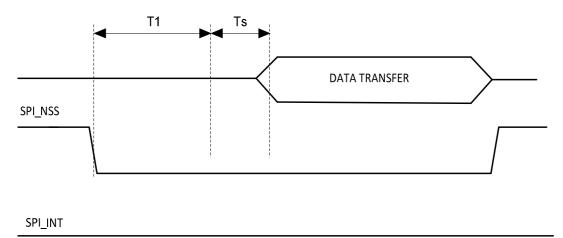
T3 is the slave resume time from the power saving mode. It is slave implementation dependant. Slave reports at initialization in MCT\_READY the minimum value of T3 required for the slave to become ready for the SPI access. Whenever master needs to resume the slave from power saving mode it should use at least the time T3 during the MAC phase instead of the time T1.

### 7.2.3 5 signals MAC layer

#### 7.2.3.1 Initiation of the data transfer from the master

In this case at the start of a MAC phase master asserts the SPI\_NSS. Slave may also assert the SPI\_INT for making a MAC access request as described in clause 7.2.3.3.

Figure 7.1 illustrates the initiation of the data transfer by the master.



#### Figure 7.1: Initiation of the data transfer from the Master

The master shall run the following procedure:

- 1) Master asserts SPI\_NSS then goes to the step 2.
- 2) Master waits at least for T1 seconds then goes to the step 3.
- 3) Master starts the bidirectional data transfer by toggling the SPI\_CLK signal.

4) Master de-asserts SPI\_NSS after data transfer completion i.e. SPI\_CLK stopped.

#### 7.2.3.2 Initiation of the data transfer from the slave

Figure 7.2 illustrates the initiation by the slave of a MAC access request for a data transfer to be performed by the master.

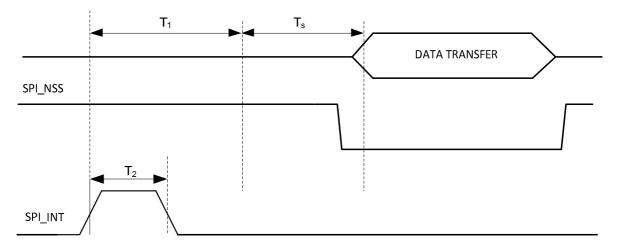


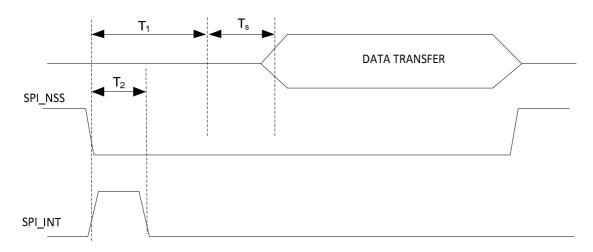
Figure 7.2: Initiation of the data transfer from the slave

The slave runs the following procedure:

- 1) If the slave determines that the SPI\_NSS signal is de-asserted (i.e. at the high level state) by reading SPI\_NSS\_SI then the slave goes to the step 2.
- 2) The slave asserts SPI\_INT by generating an SPI\_INT pulse with a minimum width of T2 seconds then goes to the step 3.
- 3) Depending on its implementation, the slave configures its SPI module. The slave waits for data transfer from the master.
- 4) As a consequence of SPI\_INT assertion by the slave at step 2, the master starts data transfer at a time greater than T1 following the leading edge of SPI\_INT, by asserting SPI\_NSS and then starts SPI\_CLK. At data transfer completion, the master enters step 5.
- 5) After data transfer completion and SPI\_CLK stop, the master de-asserts SPI\_NSS.

#### 7.2.3.3 Simultaneous initiation of a data transfer from both master and slave

Figure 7.3 illustrates a simultaneous initiation from the master and the slave.



#### Figure 7.3: Simultaneous initiation of the data transfer from both master and slave

Both endpoints request a data transfer and run simultaneously their respective procedures. From the master perspective the resulting procedure is equivalent to the initiation from the master.

The slave makes a MAC access request by asserting SPI\_INT according to the procedure described in clause 7.2.3.2 and waits for the master to generate the access for data transfer.

The time T1 - T2 shall be long enough for the slave to prepare the SPI module for the data transfer.

NOTE: It is recommended for the slave to report a T1 time assuming that the SPI master may not add the delay time Ts.

#### 7.2.3.4 MAC activation

The MAC activation procedure at power on shall be the following:

- Master shall set the SPI\_NSS output to the de-asserted state.
- The master shall drive the VDD power line on.

When the power supply of the slave needs to be toggled independently from the power of other devices sharing the SPI bus and while VDD is off or not valid, the slave shall keep SPI\_NSS, SPI\_CLK, SPI\_MOSI and SPI\_MISO lines as inputs or in high impedance.

#### 7.2.3.5 MAC deactivation

The MAC deactivation procedure for power off shall be the following:

- Master shall set the SPI\_NSS output to the de-asserted state.
- The master shall drive the VDD power line off.

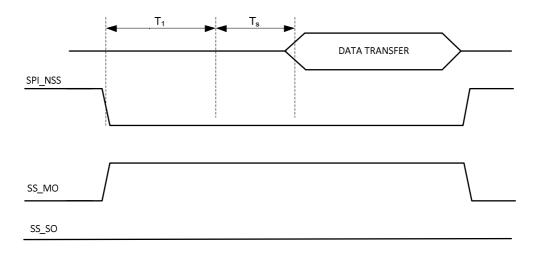
### 7.2.4 4 signals MAC layer

### 7.2.4.1 Introduction

As a slave SPI module is normally always ready for an access from the master when selected by SPI\_NSS assertion, the slave configures its SPI module in disabled state before asserting SPI\_NSS for a MAC access request. This is necessary in order to avoid self-selection e.g. driving MISO in contention with MISO signals of other slaves potentially selected at the same time on the same bus.

#### 7.2.4.2 Initiation of the data transfer from the master

Figure 7.4 illustrates the initiation of the data transfer by the master.



#### Figure 7.4: Initiation of the data transfer from the master

The Master shall perform the following procedure:

- 1) The master checks the state of the SPI\_NSS signal (by reading SS\_MI) and if it is de-asserted (i.e. at the high state) the master goes to the step 2 otherwise loops on the step 1.
- 2) The master asserts SS\_MO (to drive SPI\_NSS signal to the asserted state) then goes to the step 3.
- 3) The master waits for at least for T1 seconds then goes to the step 4.
- 4) The master starts the bidirectional data transfer by toggling the SPI\_CLK signal.
- 5) After data transfer completion i.e. SPI\_CLK stop, the master de-asserts SPI\_NSS.

#### 7.2.4.3 Initiation of the data transfer from the slave

Figure 7.5 illustrates the initiation of the data transfer from the slave.

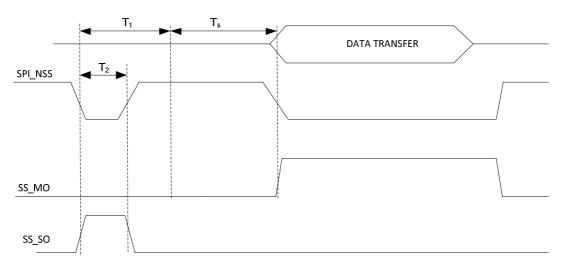


Figure 7.5: Slave initiates a MAC access request

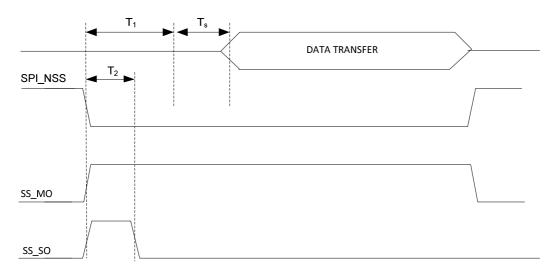
The slave runs the following procedure:

1) If the SPI\_NSS signal is found de-asserted i.e. at the high level state by reading SS\_SI then the slave goes to the step 2.

- 2) The slave disables its SPI module then goes to the step 3.
- 3) The slave asserts SS\_SO to drive SPI\_NSS to the asserted state (i.e. low level) for at least T2 seconds then goes to step 4.
- 4) The slave enables its SPI module and waits for the master to initiate the data transfer.
- 5) The SS\_MI signal generates an internal interrupt for the master, triggered on SPI\_NSS falling edge. This interrupt initiates a data transfer procedure: the master asserts SS\_MO after at least T1 following the SPI\_NSS assertion by the slave for the MAC access request.
- 6) SPI\_CLK starts after the SPI\_NSS assertion by the master in the previous step, data transfer is performed.
- 7) After data transfer completion i.e. SPI\_CLK stop, the master de-asserts SPI\_NSS.

#### 7.2.4.4 Simultaneous initiation of the data transfer from both master and slave

Figure 7.6 illustrates a simultaneous initiation from the master and the slave.



#### Figure 7.6: Simultaneous initiation of the data transfer from both master and slave

Both endpoints initiate a MAC phase for data transfers and run simultaneously their respective procedures. From a master perspective the resulting procedure is equivalent to the initiation from the master. The slave initiates a MAC access request and waits for the access start from the master as per the timings defined.

The time T1 - T2 shall be long enough for the slave to enable the SPI module.

NOTE: It is recommended for the slave to report a T1 time assuming that the SPI master may not add the delay time Ts.

#### 7.2.4.5 Slave-driven Flow Control

In certain use-cases and depending on the SPI module design, a slave may assert SPI\_NSS (via its SS\_SO) during a data transfer in progress for flow control e.g. when the slave needs to delay a subsequent master access as the slave estimates it may not be ready to receive or transmit data. It is assumed that the slave has already prepared data to be sent (if available) for the current data transfer before the data transfer started. Such an assertion of SS\_SO while the master access is in progress is not a slave MAC access request and a slave shall not start to send a new frame in the middle of the current access, as a new frame shall be always aligned with the start of an SPI access.

As long as the SPI\_NSS signal is asserted and even if the data transfer is completed, the master shall not run the MAC initiation by the master procedure as defined in clause 7.2.4.2.

Slave may assert SS\_SO for flow control at any time between the start of the data transfer and SPI\_NSS de-assertion by master at end of the data transfer.

NOTE: Detection by the slave of a data transfer for initiating flow control could be performed either by sensing the assertion of the SPI\_NSS by master or by detection of the first bytes transferred.

If the SPI bus is shared among multiple slaves and the slave performing flow control has its SPI module enabled during this time, the master shall not initiate a data transfer to any other slaves on the shared SPI bus as long as the slave keeps the SPI\_NSS asserted. The slave informs the master about the applicability of this requirement in bit 4 of the slave capabilities in MCT\_DATA, as shown in table 7.9.

The slave should not use that mechanism longer than  $500 \ \mu s$ ; after that duration, the master may use its own recovery mechanisms.

Figure 7.7 illustrates the waveforms for this procedure.

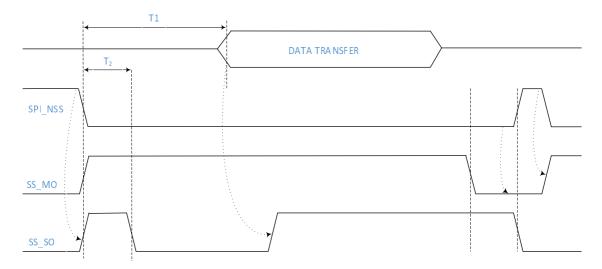


Figure 7.7: Slave activates hardware flow control by assertion of SS\_SO

#### 7.2.4.6 MAC activation

The MAC activation procedure at power on shall be the following:

- The SS\_MO shall be de-asserted (i.e. at low level) setting the SPI\_NSS output to high impedance.
- The master shall drive the VDD power line on.

When the power supply of the slave needs to be toggled independently from the power of the other devices sharing the same SPI bus and while VDD is off or not valid, the slave shall keep SS\_SO de-asserted and SPI\_CLK, SPI\_MOSI and SPI\_MISO as inputs or in high impedance.

#### 7.2.4.7 MAC deactivation

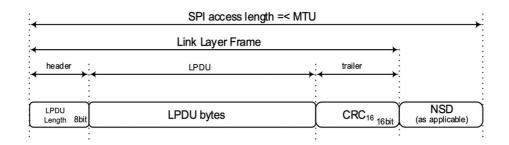
The MAC deactivation procedure for power off shall be the following:

- The SS\_MO shall be de-asserted (i.e. at low level) setting the SPI\_NSS output to high impedance.
- The master shall drive the VDD power line off.

# 7.3 Link Layer Frame

### 7.3.1 Overview

Master and slave exchange frames. The format of the frames generated by master and slave is determined by the link layer and it is shown in figure 7.8.



#### Figure 7.8: Link Layer Frame structure

All bytes shall be transmitted with MSB first (most significant bit first). All frames are transferred with the bytes in the order shown in figure 7.8, starting with the LPDU Length. The LPDU field is transferred starting with the LLC Control byte followed by the data generated by the upper OSI layer.

The link layer frame shall contain the following fields:

- LPDU length: length of the LPDU, 1 byte.
- LPDU (Link Protocol Data Unit): LPDU includes the LLC control byte as defined in clause 7.4.
- CRC informs about the integrity of the whole frame i.e. Length and LPDU. Detection of errors in a frame shall be based on the 16-bit frame checking sequence as given in ISO/IEC 13239 [3]. The CRC polynomial is: X<sup>16</sup> + X<sup>12</sup> + X<sup>5</sup> + 1. Its initial value is 'FFFF'.

Link Layer Frames are exchanged between SPI master and slave during SPI accesses. The SPI master determines the number of bytes exchanged in an SPI access. The maximum length of an SPI access is MTU.

Link Layer frames (including header, LPDU and trailer) shall always be prepared with length less than or equal to MTU.

MTU values are negotiated at SPI interface initialization as described in clause 7.6. The resultant MTU shall be the smallest MTU value between the MTU of the master and the MTU of the slave. The MTU shall be the same irrespective of the transfer direction.

Non-Significant Data (NSD) may be appended at the end of a master or slave link layer frame until the end of the SPI access according to the rules described below, considering LPDU Length + 3 + NSD length  $\leq MTU$ .

NSD bytes may have any values; in some particular implementations, the master and/or a slave may send a constant value of '00' or 'FF' instead of random values.

Master frames, slave frames or remaining bytes of a slave frame (i.e. in a second SPI access for retrieving a slave frame) shall always start aligned on the first bytes transmitted on SPI\_MOSI and SPI\_MISO at SPI\_CLK start.

The LPDU Length value shall be compliant with the values indicated in table 7.2.

Тэ	bl	7 م	2
Id	DI	e /	.∠

LPDU Length	LPDU
'00'	Indicates that no frame is transferred (there is no LPDU)
'01' to '1D'	With MTU = 32
'01' to '3D'	With MTU = 64
'01' to '7D'	With MTU = 128
'01' to 'FD'	With MTU = 256
'FE'	RFU
'FF'	Indicates that no frame is transferred (not a valid LPDU length)

### 7.3.2 Frame generation and transfer rules

#### 7.3.2.1 Overview

The SPI master initiates an SPI access either to send a frame, retrieve a frame from the slave after a MAC access request or both. Depending on the master and the slave specific implementations (as described in the following clauses), the SPI master retrieves the slave frames over one or two SPI accesses.

#### 7.3.2.2 Generally applicable rules

If the SPI master has a frame to send, the SPI master shall send that frame in a single SPI access. The SPI master may initiate a SPI access with a length higher than the length of the frame to send.

In case the SPI access is longer than the length of the frame being sent, SPI master and/or slave shall add Non-Significant Data (NSD) bytes following the CRC until the end of the SPI access.

The SPI master may apply one of the two methods below for retrieving a slave frame, according to the information provided by the slave in bit 5 of the MCT\_DATA field byte 0 (see table 7.9):

- If the slave sets this bit to 0, the SPI master shall retrieve the slave frames within a single SPI access.
- If the slave sets this bit to 1, the slave also allows the SPI master to retrieve its frames over two SPI accesses.

The length byte of any frame shall always be the first byte sent in an SPI access, i.e. a new frame shall not be started in the same SPI access. According to table 7.2, when there is no frame to transfer, the transmitter (i.e. the SPI master or the slave) shall set the first byte of the SPI access to '00' or 'FF' to indicate that it does not send any frame; this applies as well for the first MOSI byte of the second SPI access when a slave frame is retrieved over two SPI accesses.

In both cases above, regardless if it has a frame to transfer, the SPI master may generate the first SPI access of maximum length, i.e. MTU, in order to transfer the complete slave frame.

#### 7.3.2.3 Slave frame retrieval in one SPI access

If the slave sets the bit 5 to 0 in the MCT\_DATA field byte 0 (see table 7.9), the SPI master shall retrieve the slave frames within a single SPI access, i.e. without de-asserting SPI\_NSS for the entire slave frame transfer, possibly with a gap when SPI\_CLK is stopped (i.e. SPI\_CLK is idle).

If the SPI master has a frame to transfer, it should transfer it prior to stopping the SPI\_CLK.

The SPI master maintains the SPI\_NSS asserted after retrieving one or more bytes of the slave frame, may stop the SPI\_CLK while processing the slave frame length information acquired and then re-starts the SPI\_CLK for retrieving any remaining bytes. The slave frame is retrieved in a single SPI access with a pause in SPI\_CLK. The SPI master may pause the SPI\_CLK anywhere during the SPI access while maintaining the SPI\_NSS asserted. After processing the slave frame length information, if the SPI master finds out that the complete slave frame was transferred or there is no slave frame, then the SPI master de-asserts SPI\_NSS. The maximum number of bytes transferred while retrieving the slave frame is limited to the maximum frame length, i.e. MTU. The SPI master should insert only one SPI\_CLK pause, if any, during one SPI access.

This approach for retrieving the slave frame in one SPI access is also allowed for slaves which set bit 5 to 1 in the MCT\_DATA field byte 0 (see table 7.9).

#### 7.3.2.4 Slave frame retrieval in two SPI accesses

When the slave sets bit 5 to 1 in the MCT\_DATA field byte 0 (see table 7.9), the SPI master may use two SPI accesses to retrieve a slave frame. The SPI master de-asserts the SPI\_NSS when the first SPI access is completed and asserts again the SPI\_NSS for the second SPI access for retrieving any remaining bytes of the slave frame. In this case, the SPI master should not insert gaps in the SPI\_CLK during the first or the second access. A slave frame shall be retrieved in at most two SPI accesses if the number of bytes of the first SPI access is shorter than the slave frame. If the SPI master did not receive the entire slave frame in one SPI access, the master shall initiate a second SPI access with a length equal or greater than the number of remaining bytes of the frame to be retrieved from the slave. The total number of bytes transferred on MISO over both SPI accesses shall be less than or equal to the MTU.

In the second SPI access, the slave shall continue to send the same frame from the point where the previous SPI access stopped. The remaining part of a slave frame retrieved in a second SPI access shall start on the first byte of the second access with the byte following the last byte retrieved in the prior SPI access.

During the second SPI access for retrieving the remaining bytes of a slave frame, the SPI master shall set the first byte of the SPI access to '00' or 'FF' to indicate that it does not send any frame.

To retrieve a slave frame the SPI master may proceed with the following steps:

- When the SPI master does not have a frame to send and slave initiates a MAC access request asking for data transfer:
  - Step 1: the SPI master may generate an SPI access of minimum 1 byte to retrieve the slave frame length information.
  - Step 2: if the slave frame has not been entirely received in the first SPI access, the SPI master shall generate a second SPI access for retrieving the remaining bytes of the slave frame based on the information on the slave frame length received in the slave frame header during the first SPI access.
- When master has a frame to send:
  - Step 1: the SPI master generates an SPI access with the length equal or higher than its frame length. At the same time, the SPI master may receive on SPI\_MISO part of or a full slave frame. When the SPI access length is greater than the slave frame length, the slave sends NSD bytes at the end of its link layer frame, following the CRC bytes.
  - Step 2: if the SPI access length in step 1 is less than the slave frame length, the SPI master shall generate a second SPI access for retrieving the remaining bytes of the slave frame based on the information on the slave frame length received in the slave frame header during the first SPI access.

### 7.3.3 Data transfer cases

Some of the most representative data transfer cases based on the frames generation and transfer rules in clause 7.3.2 are described below. Any frame sent by the SPI master or the slave shall be preceded by a MAC phase issued respectively by the SPI master or the slave. When two SPI accesses are required for transferring a slave frame, the SPI master shall generate the second SPI access at any time greater than or equal to the tCS value in clause 6.4.2.

**Case 1:** the SPI master initiates the MAC phase and then sends a frame. The SPI access length is determined by the master frame length. No frame is received from the slave.

The slave sends on MISO the first byte set to '00' or 'FF' and the following bytes are NSD.

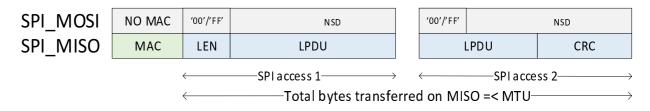
SPI_MOSI	MAC	LEN	LPDU	CRC
SPI_MISO	NO MAC	'00'/'FF'	NSD	

SPI access Length =< MTU</p>

Case 2: the slave initiates a slave MAC access request to transfer a frame, while the SPI master has no frame to send.

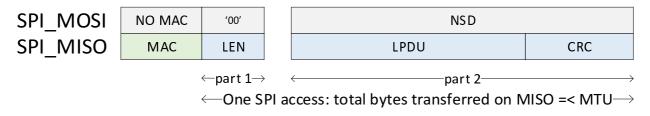
**Case 2.1:** the slave sets the bit 5 to 1 in the MCT\_DATA field byte 0 (see table 7.9), the SPI master performs two SPI accesses for retrieving the slave frame. The SPI master may perform a first SPI access with the length e.g. equal to 4, i.e. the minimum frame length, or based on a best estimate, to retrieve the slave frame length. The SPI master then performs a second SPI access to retrieve the remaining bytes of the slave frame considering the length information from the first SPI access. The total number of bytes transferred on MISO over both SPI accesses is less than or equal to the MTU. For each SPI access, the SPI master sets the first byte it sends on MOSI to '00' or 'FF' followed by NSD bytes up to the end of the access to indicate it sends no frame.

In the following figure, the slave frame is retrieved over two SPI accesses.



**Case 2.2:** the SPI master retrieves the slave frame in a single SPI access as requested by the slave with the bit 5 set to 0 in the MCT\_DATA field byte 0 (see table 7.9). In this case, the SPI master may start with transferring at least one byte or up to MTU bytes to retrieve at least the frame length information or the complete slave frame. The SPI master sets the first MOSI byte to '00' or 'FF', indicating that it does not send any frame. Following a gap with SPI\_CLK stopped and SPI\_NSS maintained asserted, the SPI master re-starts SPI\_CLK for retrieving any remaining bytes of the slave frame. The SPI master sends NSD bytes for the remaining bytes of the SPI access.

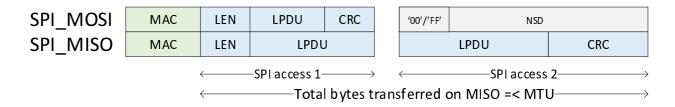
In the following figure, the slave frame is retrieved in a single access. SPI\_NSS is maintained asserted from the first byte of the slave frame and until the last byte of the slave frame is transferred.



**Case 3:** both the SPI master and the slave have frames to transfer and MAC phase is initiated by both simultaneously.

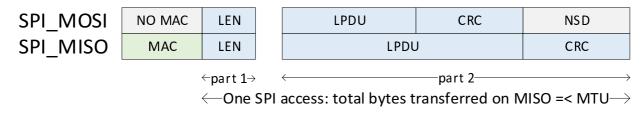
**Case 3.1:** the slave sets the bit 5 to 1 in the MCT\_DATA field byte 0 (see table 7.9). In this example, the SPI master generates an SPI access with the length determined by its frame length and the length of the slave frame is longer than the length of the master frame. The SPI master finds out that only a part of the slave frame was received and generates a second SPI access to retrieve the remaining bytes of the slave frame. The SPI master sets the first byte of the second access to '00' or 'FF', followed by NSD bytes up to the end of the access.

In the following figure, the slave frame is retrieved over 2 SPI accesses.



**Case 3.2:** the slave sets bit 5 to 0 in the MCT\_DATA field byte 0 (see table 7.9). The SPI master may start the access transferring at least one byte, for retrieving the slave frame length. This is followed by a gap with SPI\_CLK stopped for evaluating the slave frame length information. The SPI\_CLK is then re-started for retrieving the remaining bytes of the slave frame. In this example, the slave frame is longer than the master frame and the SPI master adds NSD bytes after the end of its frame up to the end of the SPI access.

In the following figure, the slave frame is retrieved in a single access. The SPI\_NSS is maintained asserted from the first byte of the slave frame and until the last byte of the slave frame is transferred.



In the following figure, the slave frame is retrieved in a single access. The SPI\_NSS is maintained asserted from the first byte of the slave frame and until the last byte of the slave frame is transferred.

SPI_MOSI	MAC LEN LPDU CRC NSD						
SPI_MISO	MAC	LEN	LPDU			LPDU	CRC
← part 1 ← part 2 ← part 2							
		←——C	ne SPI acces	sss:tota	bv	tes transferred on MISC	$D = < MTU \longrightarrow$

**Case 3.3:** the SPI master may generate an SPI access with the length equal to its frame length up to MTU to receive any slave frame occurring at the same time within a single access. In this case, the slave frame is shorter than the master frame and the slave adds NSD bytes after the end of its frame, up to the SPI access end. If the length of the SPI access is longer than the length of the master frame, e.g. equal to MTU, both the SPI master and the slave append NSD bytes after the end of their frames, up to access completion.

SPI_MOSI	MAC	LEN	LPDU			CRC	NSD
SPI_MISO	MAC	LEN	LPDU	CRC		NSD	
		<	SPI access len	gth = M	TU		$\longrightarrow$

The green boxes in the figures above indicate the initiator(s) of the MAC phase.

# 7.4 LLC layers

Three Logical Link Control (LLC) layers are defined in the present document:

- SHDLC: this is the generic LLC. SHDLC is defined in ETSI TS 102 613 [2], clause 10. Support of this LLC is mandatory for the master and the slave.
- CLT: this LLC is used for some proprietary protocol handling. CLT mode is defined in ETSI TS 102 613 [2], clause 11. Support of this LLC is optional for the master and the slave.

• MCT: this LLC consist of frames used during interface activation. Support of this LLC is mandatory for the master and the slave.

The control field is the first byte of the LPDU. Definition for the different LLC layers can be found in table 7.3.

Frame types				Bit	field			
	8	7	6	5	4	3	2	1
RFU	0	0	0	All settings				
MCT	0	0	1	MCT type				
ACT (not used)	0	1	1					
CLT	0	1	0		С	LT CM	D	
SHDLC	1			A	II setting	gs		

Table 7.3: LLC control field coding

The LPDUs shall be structured according to figures 7.9, 7.10 or 7.11, depending on the frame type.

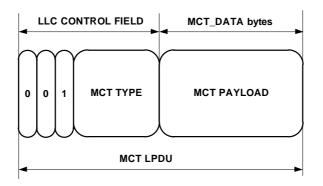


Figure 7.9: LPDU structure of the LLC layer of type MCT

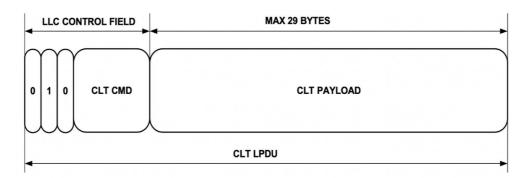


Figure 7.10: LPDU structure of the LLC layer of type CLT

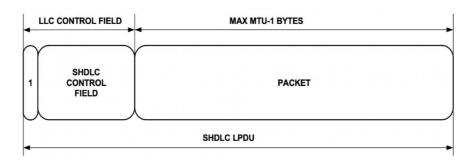


Figure 7.11: LPDU structure of the LLC layer of type SHDLC

# 7.5 Interworking of the LLC layers

After MAC activation, the SHDLC link shall not be established and no CLT session shall be open. Only the MCT LLC shall be used by the master and by the slave for the SPI interface initialization.

The master shall take the following action after a successful MCT LLC phase:

- If the master has data to be sent to the slave (e.g. due to a contactless transaction) that requires the use of the CLT LLC, it shall initiate a CLT LLC session.
- Otherwise it shall start the establishment of an SHDLC link as soon as possible.

After the slave and the master have established the SHDLC link or opened the CLT session, the slave and the master shall not send MCT LLC frames; received MCT LLC frames shall be ignored.

To enter the SHDLC LLC for the first time after MCT LLC, the link establishment procedure as described in clause 7.7.1 shall apply.

Once the SHDLC link is established, a CLT session shall not invalidate the SHDLC context and the endpoint capabilities negotiated during the SHDLC link establishment.

To enter the CLT LLC from MCT LLC or SHDLC LLC, the CLT session shall be opened as described in clause 11.6 of ETSI TS 102 613 [2]. The master shall open a CLT session only when all SHDLC I-Frames are acknowledged. SHDLC LLC frames received by the slave or by the master during a CLT session close the CLT session.

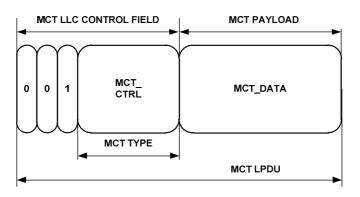
In case the slave or the master receives a corrupted frame, then the receiving entity shall use the error recovery procedure defined for the LLC of the last correctly received frame. Immediately after MAC activation, the error handling of the MCT LLC shall apply.

LPDU may be the SCL packet as defined in ETSI TS 103 666-1 [1], clause 8.3.2.

# 7.6 MCT LLC definition

### 7.6.1 MCT LPDU structure

The MCT LPDU shall be structured according to figure 7.12.



#### Figure 7.12: MCT LPDU structure

The meaning of MCT\_CTRL and MCT\_DATA is given in table 7.4.

MCT_CTRL	Meaning	MCT_DATA			
00000	MCT_READY Sent from Slave to Master	See table 7.5			
00010	MCT_MASTER_REQ Sent from Master to Slave	See table 7.7			
All other values (see note) RFU					
NOTE: All other values are reserved for future use. These values shall not be set by the transmitting entity and shall be ignored by the receiving entity.					

Table 7.4: Meaning of MCT_CTRL and MCT_DATA
---

The MCT LPDU length shall be lower than or equal to 29 bytes.

NOTE: 29 bytes is equal to the smallest MTU size (32 bytes) minus the overhead of the link layer frame as described in clause 7.3.1.

### 7.6.2 MCT\_DATA from master

Tables 7.5, 7.6 and 7.7 define the capabilities of the master.

#### Table 7.5: Master-specific MCT\_DATA field

Byte	Info/parameter	Info/parameter Meaning			
0	Spec_Ver	Spec_Ver Specification version to which master is compliant. Defined in table 7.6.			
1	Capabilities Defined in table 7.7.				
2,3	T4 Inactivity period for power saving mode (ms) according to clause 7.8.2.1.				
NOTE:	All additional bytes (4 to 28) are reserved for future extensions of the protocol. They should not				
	be sent by the m	aster and shall be ignored by the slave.			

#### **Table 7.6: Specification version**

Bit field	Value	Meaning
8 to 4	00001	Major version of the SPI interface
3 to 1	000	Minor version of the SPI interface

#### Table 7.7: Master capabilities indication in MCT\_DATA field

Bit field	Value	Meaning			
8 to 6	000	RFU (see note)			
5, 4	11	Full Power Mode 3 supported			
	10	Full Power Mode 2 supported			
	01	Full Power Mode 1 e.g. 10 mA supported			
	00	Low Power Mode e.g. 5 mA supported			
	11	MTU 256 bytes			
3, 2	10	MTU 128 bytes			
3, Z	01	MTU 64 bytes			
	00	MTU 32 bytes			
4	1	RFU			
1	0	Flow control SHDLC-based (default)			
NOTE: The	ese bits shall not be set by the master and shall be ignored by				
the	slave.				

After the SPI activation as defined in clause 7.2.3.4 or in clause 7.2.4.6, the master shall send the MCT\_MASTER\_REQ frame and the slave shall respond with the MCT\_READY frame.

The MCT phase shall be performed with default SPI\_CLK = 1MHz and T1  $\ge$  255  $\mu$ s.

The MTU negotiation between the master and slave shall be between the MTU sent by the master in the MCT\_MASTER\_REQ frame and the MTU sent by the slave in the MCT\_READY. The lower of the MTU values will be used by both master and slave for all frames.

Master shall indicate in MCT\_MASTER\_REQ its highest power source capability (i.e. Low Power, Full Power Mode 1, Full Power Mode 2 or Full Power Mode 3) available for the respective slave. The power levels are defined as increasing respectively for Low Power Mode, Full Power Mode 1, Full Power Mode 2, Full Power Mode 3.

A slave able to limit its maximum current according to the received master power capabilities shall:

- support Low Power Mode;
- support Full Power Mode 1 if the slave requires a maximum current corresponding to Full Power Mode 1 or a higher current;
- start in Low Power Mode following VDD ON and may switch to a Full Power Mode, depending on the power mode capabilities received from the master in MCT\_MASTER\_REQ.

Low Power, Full Power Mode 1, Full Power Mode 2 and Full Power Mode 3 current values are out of scope of the present document. These power modes are described for general reference for the master and the slave when applicable and are not mandatory. The master and the slave may agree at the system design time on the implementation specific power requirements, on the power modes to be supported and specific current levels may be defined for the power modes. In a particular case the master includes in its power budget the maximum current required by the slave and in this case the slave may ignore the power modes information sent by master in MCT\_DATA.

# 7.6.3 MCT\_DATA from slave

Tables 7.8.and 7.9 define the capabilities of the slave.

Byte	Info/parameter	Meaning			
0	Spec_Ver	Specification version to which slave is compliant. Defined in table 7.6.			
1	Capabilities	ties Defined in table 7.9.			
2	SPI_CLK	Max SPI_CLK value supported by SPI slave (MHz).			
3	T1	Slave MAC Ready Time (µs).			
4	T3	Slave resume time from power saving mode (µs) (see note 1).			
5,6	6 T4 Slave supported Inactivity period for entering power saving mode T4 (ms) according				
	clause 7.8.2.1.				
7	7 POT Power-ON Time: time after slave VDD valid when master can send				
	MCT_MASTER_REQ (ms) (see note 2).				
NOTE 1:	NOTE 1: In case a slave may "self-resume" (e.g. due to activities on another interface) and it has T3 < T1 slave shall				
report T1 value for T3.					
	NOTE 2: This value should be used by master at next power on. The initial value used by the master should be 1 s.				
NOTE 3:	All additional bytes (8	to 28) are reserved for future extensions of the protocol. They should not be sent by the			
	slave and shall be ignored by the master.				

#### Table 7.8: Slave-specific MCT\_DATA field (bytes 0...7)

Bit field	k	Value	Meaning						
8 to 6		0000	RFU (see note 1)						
		1	The master may perform two SPI accesses to retrieve a slave						
5			frame (see clause 7.3.2.4)						
5		0	The master shall perform a single SPI access for retrieving a						
			slave frame (see clause 7.3.2.3)						
4		1	Slave-driven flow control with SPI module enabled (see note 2)						
4		0	No slave-driven flow control master restrictions						
		11	MTU 256 bytes						
3, 2		10	MTU 128 bytes						
3, Z		01	MTU 64 bytes						
		00	MTU 32 bytes						
1		1	RFU						
I		0	SHDLC-based flow control (default)						
NOTE 1:	These bits shall not be set by the slave and shall be ignored by the master.								
NOTE 2:	The master shall postpone any transfers to other slaves on the shared bus as long								
	as the slave is performing slave-driven flow control.								

### 7.6.4 MCT activation procedure

Slave start-up time following power-on is defined as POT and has an initial value of 1 s for the first power on, when the slave reported MCT\_READY parameters are not yet available. After POT time (from the time VDD is valid after power-on) slave shall be ready to receive the MCT\_MASTER\_REQ from master. Shorter POT values may be reported by slave in MCT\_READY. Master shall use the POT value reported by slave or a higher value in subsequent power-up sequences.

Master shall wait for MCT\_READY from slave after sending MCT\_MASTER\_REQ. In case slave did not send MCT\_READY response (no MAC access request) within MCT\_SLAVE\_TIMEOUT or if MCT\_READY is corrupted, master shall retry the MCT activation by sending another MCT\_MASTER\_REQ frame. Master shall retry at least two times i.e. shall re-send MCT\_MASTER\_REQ at least twice without power toggle. Specific recovery procedure further steps after these retries are implementation specific and out of scope of the present document.

After power-up, if slave gets a corrupted frame or any other frame instead of the MCT\_MASTER\_REQ, slave shall discard the data and remain in receive state. If slave receives three corrupted or invalid frames instead of MCT\_MASTER\_REQ, slave should enter power saving mode. If the MCT activation has not been successfully performed or master did not initiate an SPI access within MCT\_MASTER\_TIMEOUT following power-on or for the subsequent retries in case of errors, slave should enter power saving mode.

MCT\_MASTER\_TIMEOUT is the maximum time within which the master shall send the MCT\_MASTER\_REQ after power-on or for the retries in case of errors. The value defined is 1 s.

MCT\_SLAVE\_TIMEOUT is the maximum time within which the slave shall send the MCT\_READY response to MCT\_MASTER\_REQ. The default value defined is 200 ms. A slave may send MCT\_READY faster according to certain applications requirements.

# 7.7 SHDLC LLC definition

### 7.7.1 SHDLC overview

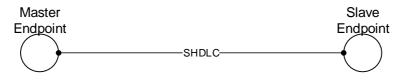
The provisions of ETSI TS 102 613 [2], clause 10.1 shall apply. The SWP SHDLC layer is replaced by the SPI SHDLC layer defined in the present document.

The SHDLC layer shall ensure that data passed up to the next layer has been received exactly as transmitted i.e. error free, without loss and in the correct order. Also, the SHDLC layer manages the flow control, which ensures that data is transmitted only as fast as the receiver may receive it.

The provisions of ETSI TS 102 613 [2] clauses from 10.3 to 10.8 shall apply. Additional SHDLC rules are defined below.

### 7.7.2 Endpoints

SHDLC communication occurs between two endpoints. Those endpoints may be either the master endpoint or the slave endpoint. There is no priority of traffic.



#### Figure 7.13: Endpoints

In ETSI TS 102 613 [2], clause 10, the term CLF refers to the master endpoint and the term UICC to the slave endpoint.

### 7.7.3 Flow control

#### 7.7.3.1 Overview

Flow control is performed by a transmitter in order to avoid corruption or loss of data. It consists of methods applied by the transmitter and receiver in order to send a maximum number of SHDLC frames that can be accepted by the receiver, after which it shall stop sending data until the receiver sends at least an acknowledgement (e.g. SHDLC I-frame or SHDLC S-frame) for one of the received SHDLC frames.

#### 7.7.3.2 Flow control based on SHDLC

The method defined in this clause is based on SHDLC flow control, as defined in ETSI TS 102 613 [2].

In addition to the provisions of clause 7.3.2, the total number of bytes transferred on SPI\_MOSI while retrieving a slave frame over two SPI accesses shall be less than or equal to the maximum slave frame length i.e. MTU.

NOTE: The number of free window size slots is not to be decremented for the second SPI access for retrieving the remaining bytes of a slave frame.

The maximum number of SHDLC frames that can be sent by a transmitter is determined by the negotiated window size.

# 7.8 Power management

### 7.8.1 Power saving mode

In order to optimize the power consumption, both the master and the slave may enter into power saving mode. This clause defines the conditions for the slave to enter into power saving mode and the procedures for the master for resuming the slave from power saving mode.

The master and the slave shall both resume in the same LLC context following the master or the slave resumption. The master and the slave may then initiate the switch to a different LLC context according to clause 7.5.

### 7.8.2 Conditions for entering power saving mode

#### 7.8.2.1 Slave entering power saving mode

The slave shall not enter into power saving mode, if the slave has issued a MAC access request and is waiting for data transfer from the master.

The slave may enter into power saving mode in one of the cases below, assuming there is no pending activity:

- 1) All frames have been transmitted by the slave, acknowledged successfully by the master and the slave detects an inactivity time T4 with no assertion of SPI\_NSS by the master. Entering into power saving mode based on the inactivity period may be disabled by the master thru the inactivity period value negotiated at interface initialization as described below.
- 2) The slave informs that it does not require or expect any further activities e.g. through EVT\_LINK\_END\_OF\_OPERATION sent from its Link Application Gate to the Link Service Gate of the Network Controller Host, as defined in ETSI TS 103 666-1 [1]. The slave may enter into power saving mode after it receives the SHDLC link layer acknowledgement for EVT\_LINK\_END\_OF\_OPERATION.
- 3) Following the power-up or during MCT activation procedure as described in clause 7.6.4, when the slave does not detect any activity for more than the default inactivity period MCT\_MASTER\_TIMEOUT.

The inactivity period T4 is negotiated by the master and the slave at interface initialization as described in clause 7.6. The master shall provide an inactivity period in MCT\_MASTER\_REQ and the slave shall send back an MCT\_READY with the same T4 value for acceptance, or a different value if it cannot support the value received from the master. If the master sends T4='FFFF' in MCT\_MASTER\_REQ, the slave shall disable the entering power saving mode on detection of an inactivity period and the slave shall send an MCT\_READY with the same T4 value. If the slave sends T4='FFFF' in MCT\_READY it indicates to the master that the slave will not enter into power saving mode based on the detection of inactivity time, regardless the value sent by the master in the MCT\_MASTER\_REQ and the master should not resume the slave on this condition.

A slave which supports a resume time T3 lower than T1 and is either not able to systematically enter into power saving mode (i.e. it may remain active) or may resume independently of the activity on the SPI interface, shall report in MCT\_READY a T3 value equal to T1 (or higher).

In power saving mode, the slave shall maintain its SPI interface as for the case when SPI\_NSS is de-asserted with the slave not in power saving mode.

#### 7.8.2.2 Master entering power saving mode

The master may enter into an implementation-specific power saving mode at any time, without informing the slave. The slave power source status and capabilities indicated by the master at interface initialization shall not change when the master enters into power saving mode, is in power saving mode, is resuming from power saving mode or after the master has resumed. SPI\_NSS shall be maintained de-asserted by the master when the master is in power saving mode and when the master is resuming.

### 7.8.3 Resuming from power saving mode

#### 7.8.3.1 Resuming the slave from power saving mode

Resuming the slave from power saving mode shall be performed by the master when any of the conditions above for the slave to enter into power saving mode has been previously met. The master shall ensure that all signals it drives are in the idle state corresponding to SPI mode 0 before initiating the resuming of the slave. The leading edge of the SPI\_NSS assertion shall trigger the slave to resume.

The master shall perform the following procedure to resume the slave:

- In the case of a 4 signals SPI interface, the master checks the state of the SPI\_NSS signal and if it is de-asserted, it goes to the step 2, otherwise loops on step 1. In the case of a 5 signals SPI interface, the master starts with step 2.
- 2) The master asserts SPI\_NSS and waits for at least T3, then goes to step 3.
- 3) The master considers the slave as resumed from the power saving mode and starts the data transfer. The slave shall support the resumption up to the data transfer phase with SPI\_NSS continuously asserted by the master during T3.

#### 7.8.3.2 Resuming the master from power saving mode

If the master has entered into power saving mode, it shall resume when the slave initiates a MAC access request. The slave initiates the MAC access request with the procedures as described in clause 7, regardless the power management status of the master. Following a resume by a MAC access request during T2 as described in clause 7.2.2.3, the master shall start an SPI access after T1 or later, from the leading edge of the slave MAC access request pulse, i.e. clauses 7.2.3.2 and 7.2.4.3 apply.

The leading edge of the MAC access request (SPI\_NSS or SPI\_INT assertion) should trigger the resuming of the master.

Annex A (informative):

Slave SPI interface states electrical description

# A.1 Slave SPI interface for 5 wire interface

A.1.1 Slave SPI 5 wire interface diagram

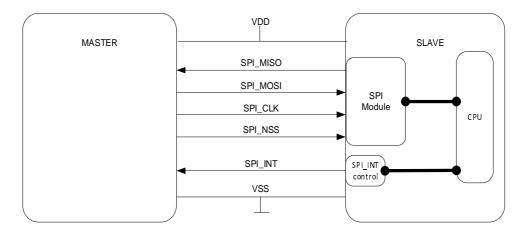


Figure A.1: SPI electrical interface with 5 signals

SPI\_INT is generated separately from the SPI module which does not include the SPI\_INT by default. SPI\_INT is an output of the slave and an input to the master.

# A.1.2 Slave SPI 5 wire interface states electrical description

The signals SPI\_MISO, SPI\_MOSI and SPI\_CLK may be shared between multiple slaves. In that case each slave has a dedicated SPI\_NSS. Shared SPI\_INT is out of the scope of the present document.

The slave allows SPI shared bus and states according to the table A.1.

slave state	SPI_INT		SPI_NSS		SPI_CLK		SPI_MISO		SPI_MOSI	
	slave	master	slave	master	slave	master	slave	master	slave	master
initial state (see note 1)	HiZ or DA	II	ll or HiZ	DA	ll or HiZ	Idle or active (see note 2)	HiZ	II or IL (see note 2)	ll or HiZ	Idle or active (see note 2)
configured/ de-selected	HiZ or DA	IL	IL	DA	II	Idle or active (see note 2)	HiZ	II or IL (see note 2)	II	Idle or active (see note 2)
configured/selected	HiZ or DA	IL or II	IL	A	IL	D	D	IL	IL	D
pro-active (see note 3)	A	IL	IL	DA	II	Idle or active (see note 2)	HiZ	II or IL (see note 2)	II	Idle or active (see note 2)

Table A.1: 5 wire SPI interface states

slave state	slave state SPI_INT		SPI_NSS		SPI_CLK		SPI_MISO		SPI_MOSI	
	slave	master	slave	master	slave	master	slave	master	slave	master
power saving mode	HiZ or DA	IL	IL	DA	II	Idle or active (see note 2)	HiZ	II or IL (see note 2)	II	Idle or active (see note 2)
NOTE 1: State after VDD being valid until the slave internal configuration is completed.										
NOTE 2: Master may be on an ongoing communication with another slave.										
NOTE 3: Applies only during T2.										

# A.2 Slave SPI interface states for 4 wire interface

# A.2.1 Slave SPI 4 wire interface diagram

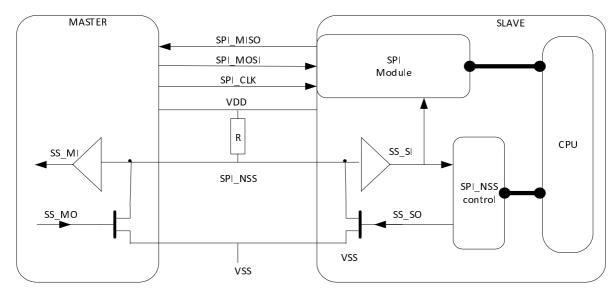


Figure A.2: SPI interface with 4 wire

Slave asserts the SPI\_NSS signal independently from the SPI module in which the slave select signal is an input.

# A.2.2 Slave SPI 4 wire interface states electrical description

The signals SPI\_MISO, SPI\_MOSI and SPI\_CLK may be shared between multiple slaves. In that case each slave have a dedicated SPI\_NSS.

Slave allows SPI shared bus and states according to the table A.2.

slave state	SPI	_NSS	SPI	CLK	SPI	MISO	SPI_MOSI		
	slave	master	slave	master	slave	master	slave	master	
initial state	II or HiZ	DA	II or HiZ	Idle or	HiZ	II or IL (see	II or HiZ	Idle or	
(see note 1)				active		note 2)		active (see	
				(see note 2)				note 2)	
configured/	DA, IL	DA, IL (see	II	Idle or	HiZ	II or IL (see	II	Idle or	
de-selected	(see	note 3)		active (see		note 2)		active (see	
	note 3)			note 2)				note 2)	
configured/	DA, A or	A	IL	D	D	IL	IL	D	
selected	IL (see								
	notes 3								
	and 8)								
busy (see	A	DA, IL (see	IL or II (see	Idle or	D or HiZ	II or IL	IL or II (see	Idle or	
note 4)		note 3)	note 7)	active (see	(see		note 7)	active (see	
				notes 2 and	note 7)			notes 2	
				7)				and 7)	
pro-active	A	IL or A (see	II	Idle or	HiZ	II or IL (see	II	Idle or	
(see note 5)		note 3 or 6)		active (see		note 2)		active (see	
				note 2)				note 2)	
power saving	DA, IL	DA, IL (see	II	Idle or	HiZ	II or IL (see	II	Idle or	
mode	(see	note 3)		active (see		note 2)		active (see	
	note 3)			note 2)				note 2)	

#### Table A.2: 4 wire SPI interface states

NOTE 1: State after VDD being valid until the slave internal configuration is completed. NOTE 2: Master may be on an ongoing communication with another slave.

NOTE 3: The state is not enforced (SS\_MO and SS\_SO not asserted), the line is sampled (SS\_MI and SS\_SI).

NOTE 4: State SPI\_NSS is asserted only by slave after data transfer according to clause 7.2.4.5. NOTE 5: Applies only during the time T2.

NOTE 6: Applies also during simultaneous assertion of SPI\_NSS by master and slave for MAC phase.

NOTE 7: According to Busy state description in clause 6.5.1 and slave capabilities information in table 7.9 bit 4.

NOTE 8: Slave may assert its SPI\_NSS at the same time with master SPI\_NSS to enter into the busy state.

# Annex B (informative): Change history

The table below indicates all changes that have been incorporated into the present document since it was placed under change control.

Change history								
Date	Meeting	Plenary Doc	CR	Rev	Cat	Subject/Comment	Old	New
03/10/2019	SCP#89	SCP(19)000211	-	-	-	Version 15.0.0 first publication	-	15.0.0
07/01/2020	SCP#90	SCP(19)000247	001	-	F	CR 103 713 Rel-15 MCT LLC RFU	15.0.0	15.1.0
						additions		
07/01/2020	SCP#90	SCP(19)000248	002	-	D	CR 103 713 Rel-15 Clarification of the	15.0.0	15.1.0
						naming of the signal 'master internal		
						interrupt'		
07/01/2020	SCP#90	SCP(19)000249	003	-	F	CR 103 713 Rel-15 Data Transfer definition	15.0.0	15.1.0
07/01/2020	SCP#90	SCP(19)000250	004	-	С	CR 103 713 Rel-15 AC characteristics	15.0.0	15.1.0
						clarifications		
07/01/2020	SCP#90	SCP(19)000251	005	-	С	CR 103 713 Rel-15 DC characteristics	15.0.0	15.1.0
						clarifications		
07/01/2020	SCP#90	SCP(19)000252	006	-	В	CR 103 713 Rel-15 Power Management	15.0.0	15.1.0
01/04/2020	SCP #91	SCP(20)091023	007	-	F	CR 103 713 Rel-15 SPI Resume Time	15.1.0	15.2.0
01/04/2020	SCP #91	SCP(20)091024	800	-	F	CR 103 713 Rel-15 SPI_INT description	15.1.0	15.2.0
01/04/2020	SCP #91	SCP(20)091025r1	009r1	-	F	CR 103 713 Rel-15 MAC SPI interface	15.1.0	15.2.0
						states definition		
01/04/2020	SCP #91	SCP(20)091026	010		D	CR 103 713 Rel-15 Editorial Changes	15.1.0	15.2.0
01/04/2020	SCP #91	SCP(20)091027	011	-	F	CR 103 713 Rel-15 SPI slave flow control	15.1.0	15.2.0
						clarification		
28/07/2020	SCP#94	SCP(20)000046r1	12r1	-	F	CR 103 713 Rel-15 Slave interface states	15.2.0	15.3.0
28/07/2020	SCP#94	SCP(20)000047r1	13r1	-	F	CR 103 713 Rel-15 MAC activation	15.2.0	15.3.0
23/09/2020	SCP#95	SCP(20)000106	14	-	F	CR 103 713 Rel-15 Power Modes updates	15.2.0	15.3.0
16/12/2020	SCP#97	SCP(20)000161	015	-	F	CR_103_713_Rel-	15.3.0	15.4.0
						15_AC_DC_Characteristics_Updates		
19/03/2021	SCP#99	SCP(21)000042	016	-	В	CR 103 713 Rel-15 Improvement of the	15.4.0	15.5.0
						NSD description and introduction of new		
						transfer methods		
19/05/2021						Editorial correction of the Annex AA	15.3.1	15.3.1
						structure		
19/05/2021						Editorial correction of the Annex AA	15.4.1	15.4.1
						structure		
19/05/2021						Editorial correction of the Annex AA	15.4.0	15.5.0
					_	structure		
29/09/2021	SCP#101	SCP(21)000138	017	-	F	CR 103 713 Rel-15 Data transfer starting	15.5.0	15.6.0
						time clarification		
06/01/2022						Editorial corrections: removal of text	15.6.0	15.6.1
						duplications added during the pre-		
						processing in several clauses		

# History

	Document history						
V15.0.0	November 2019	Publication					
V15.1.0	February 2020	Publication					
V15.2.0	May 2020	Publication					
V15.3.0	October 2020	Publication					
V15.4.0	February 2021	Publication					
V15.3.1	June 2021	Publication					
V15.4.1	June 2021	Publication					
V15.5.0	June 2021	Publication					
V15.6.0	December 2021	Publication					
V15.6.1	January 2022	Publication					